

**FEC  
FORM 3X****REPORT OF RECEIPTS  
AND DISBURSEMENTS**  
For Other Than An Authorized Committee

Office Use Only

1. NAME OF  
COMMITTEE (in full)**USE FEC MAILING LABEL  
OR TYPE OR PRINT**Example: If typing, type  
over the lines

Credit Suisse Securities (USA) LLC Political Action Committee

ADDRESS (number and street)

1201 F Street, NW Suite 450

☐Check if different  
than previously  
reported. (ACC)

Washington

DC

20004

2. **FEC IDENTIFICATION NUMBER**

CITY

STATE

ZIP CODE

C00111559

3. IS THIS  
REPORT☒NEW  
(N)

OR

☐AMENDED  
(A)4. **TYPE OF REPORT**

(Choose One)

(a) Quarterly Reports:

☐April 15  
Quarterly Report(Q1)☐July 15  
Quarterly Report(Q2)☐October 15  
Quarterly Report(Q3)☐January 31  
Quarterly Report(YE)☐July 31 Mid-Year  
Report(Non-election  
Year Only) (MY)☐Termination Report  
(TER)(b) Monthly  
Report  
Due On:☐

Feb 20 (M2)

☐

May 20 (M5)

☐

Aug 20 (M8)

☐Nov 20 (M11)  
(Non-Election  
Year Only)☐

Mar 20 (M3)

☐

Jun 20 (M6)

☐

Sep 20 (M9)

☐Dec 20 (M12)  
(Non-Election  
Year Only)☐

Apr 20 (M4)

☐

Jul 20 (M7)

☐

Oct 20 (M10)

☐

Jan 31 (YE)

(c) 12-Day  
**PRE**-Election  
Report for the:☐

Primary (12P)

☐

General (12G)

☐

Runoff (12R)

☐

Convention (12C)

☐

Special (12S)

Election on

in the  
State of(d) 30-Day  
**Post**-Election  
Report for the:☒

General (30G)

☐

Runoff (30R)

☐

Special (30S)

Election on

in the  
State of

5. Covering Period

10

14

2010

through

11

22

2010

I certify that I have examined this Report and to the best of my knowledge and belief it is true, correct and complete.

Type or Print Name of Treasurer

Ms Mary Lynn, Whalen

Signature of Treasurer

Electronically Filed by Ms Mary Lynn, Whalen

Date

12

01

2010

NOTE : Submission of false, erroneous, or incomplete information may subject the person signing this Report to the penalties of 2 U.S.C 437g.

Office  
Use  
Only**FEC FORM 3X**  
(Rev. 12/2004)

# SUMMARY PAGE

## OF RECEIPTS AND DISBURSEMENTS

FEC Form 3X (Rev. 02/2003)

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Write or Type Committee Name

Credit Suisse Securities (USA) LLC Political Action Committee

Report Covering the Period:

From:

|   |   |
|---|---|
| M | M |
| 1 | 0 |

|   |   |
|---|---|
| D | D |
| 1 | 4 |

|   |   |   |   |
|---|---|---|---|
| Y | Y | Y | Y |
| 2 | 0 | 1 | 0 |

To:

|   |   |
|---|---|
| M | M |
| 1 | 1 |

|   |   |
|---|---|
| D | D |
| 2 | 2 |

|   |   |   |   |
|---|---|---|---|
| Y | Y | Y | Y |
| 2 | 0 | 1 | 0 |

|  | COLUMN A<br>This Period | COLUMN B<br>Calendar Year-to-Date |
|--|-------------------------|-----------------------------------|
| 6. (a) Cash on Hand<br>January 1 <div>2010</div>   |                         | 190257.09                         |
| (b) Cash on Hand at<br>Beginning of Reporting Period .....   | 191599.35               |                                   |
| (c) Total Receipts (from Line 19) .....  | 53724.00                | 523691.26                         |
| (d) Subtotal (add lines 6(b) and<br>6(c) for Column A and Lines<br>6(a) and 6(c) for Column B) .....             | 245323.35               | 713948.35                         |
| 7. Total Disbursements (from Line 31) .....  | 0.00                    | 468625.00                         |
| 8. Cash on Hand at Close of<br>Reporting Period<br>(subtract Line 7 from Line 6(d)) .....                        | 245323.35               | 245323.35                         |
| 9. Debts and Obligations owed <b>TO</b><br>the committee (Itemize all on<br>Schedule C and/or Schedule D) .....  | 0.00                    |                                   |
| 10. Debts and Obligations owed <b>BY</b><br>the committee (Itemize all on<br>Schedule C and/or Schedule D) ..... | 0.00                    |                                   |

☒ This Committee has qualified as a multicandidate committee. (see FEC FORM 1M)

### For further information contact:

Federal Election Commission  
999 E street, NW  
Washington, DC 20463

Toll Free 800-424-9530  
Local 202-694-1100

# DETAILED SUMMARY PAGE OF RECEIPTS

FEC Form 3X (Rev. 06/2004)

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Write or Type Committee Name

Credit Suisse Securities (USA) LLC Political Action Committee

Report Covering the Period:

From:

|   |   |
|---|---|
| M | M |
| 1 | 0 |

|   |   |
|---|---|
| D | D |
| 1 | 4 |

|   |   |   |   |
|---|---|---|---|
| Y | Y | Y | Y |
| 2 | 0 | 1 | 0 |

To:

|   |   |
|---|---|
| M | M |
| 1 | 1 |

|   |   |
|---|---|
| D | D |
| 2 | 2 |

|   |   |   |   |
|---|---|---|---|
| Y | Y | Y | Y |
| 2 | 0 | 1 | 0 |

| I. Receipts  | COLUMN A<br>Total This Period | COLUMN B<br>Calendar Year-to-Date |
|--|-------------------------------|-----------------------------------|
| 11. Contributions (other than loans) From:   |                               |                                   |
| (a) Individuals/Persons Other Than Political Committees  |                               |                                   |
| (i) Itemized (use Schedule A) .....  | 52723.83                      | 395493.00                         |
| (ii) Unitemized .....  | 1000.17                       | 123198.26                         |
| (iii) TOTAL (add Lines 11(a)(i) and (ii) .....   | 53724.00                      | 518691.26                         |
| (b) Political Party Committees .....   | 0.00                          | 0.00                              |
| (c) Other Political Committees (such as PACs) .....  | 0.00                          | 0.00                              |
| (d) Total Contributions (add Lines 11(a)(iii),(b) and (c)) (Carry Totals to Line 33, page 5) .....     | 53724.00                      | 518691.26                         |
| 12. Transfers From Affiliated/Other Party Committees .....   | 0.00                          | 0.00                              |
| 13. All Loans Received .....   | 0.00                          | 0.00                              |
| 14. Loan Repayments Received .....   | 0.00                          | 0.00                              |
| 15. Offsets To Operating Expenditures (Refunds, Rebates, etc.) (Carry Totals to Line 37, page 5) ..... | 0.00                          | 0.00                              |
| 16. Refunds of Contributions Made to Federal candidates and Other Political Committees .....           | 0.00                          | 5000.00                           |
| 17. Other Federal Receipts (Dividends, Interest, etc.) .....   | 0.00                          | 0.00                              |
| 18. Transfers from Non-Federal and Levin Funds   |                               |                                   |
| (a) Non-Federal Account (from Schedule H3) .....   | 0.00                          | 0.00                              |
| (b) Levin Funds (from Schedule H5) .....   | 0.00                          | 0.00                              |
| (c) Total Transfer (add 18(a) and 18(b)).  | 0.00                          | 0.00                              |
| 19. Total Receipts (add Lines 11(d), 12, 13, 14, 15, 16, 17, and 18(c)) .....                          | 53724.00                      | 523691.26                         |
| 20. Total Federal Receipts (subtract Line 18(c) from Line 19) .....                                    | 53724.00                      | 523691.26                         |

## DETAILED SUMMARY PAGE

of Disbursements

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FEC Form 3X (Rev. 02/2003)

| II. DISBURSEMENTS  |      | COLUMN A<br>Total This Period | COLUMN B<br>Calendar Year-to-Date |
|--|------|-------------------------------|-----------------------------------|
| 21. Operating Expenditures:  |      |                               |                                   |
| (a) Shared Federal/Non-Federal Activity (from Schedule H4)                                     |      |                               |                                   |
| (i) Federal Share.....   | 0.00 | 0.00                          |                                   |
| (ii) Non-Federal Share.....  | 0.00 | 0.00                          |                                   |
| (b) Other Federal Operating Expenditures.....  | 0.00 | 0.00                          |                                   |
| (c) Total Operating Expenditures (add 21(a)(i), (a)(ii) and (b)).....                          | 0.00 | 0.00                          |                                   |
| 22. Transfers to Affiliated/Other Party Committees.....  | 0.00 | 0.00                          |                                   |
| 23. Contributions to Federal Candidates/Committees and Other Political Committees.....         | 0.00 | 468500.00                     |                                   |
| 24. Independent Expenditure (use Schedule E) .....   | 0.00 | 0.00                          |                                   |
| 25. Coordinated Expenditures Made by Party Committees (2 U.S.C. 441a(d)) (use Schedule F)..... | 0.00 | 0.00                          |                                   |
| 26. Loan Repayments Made.....  | 0.00 | 0.00                          |                                   |
| 27. Loans Made.....  | 0.00 | 0.00                          |                                   |
| 28. Refunds of Contributions To:   |      |                               |                                   |
| (a) Individuals/Persons Other Than Political Committees .....                                  | 0.00 | 125.00                        |                                   |
| (b) Political Party Committees   | 0.00 | 0.00                          |                                   |
| (c) Other Political Committees (such as PACs) .....  | 0.00 | 0.00                          |                                   |
| (d) Total Contribution Refunds (add Lines 28(a), (b), and (c)) .....                           | 0.00 | 125.00                        |                                   |
| 29. Other Disbursements.....   | 0.00 | 0.00                          |                                   |
| 30. Federal Election Activity (2 U.S.C 431(20))  |      |                               |                                   |
| (a) Shared Federal Election Activity (from Schedule H6)  |      |                               |                                   |
| (i) Federal Share .....  | 0.00 | 0.00                          |                                   |
| (ii) "Levin" Share .....   | 0.00 | 0.00                          |                                   |
| (b) Federal Election Activity Paid Entirely With Federal Funds .....                           | 0.00 | 0.00                          |                                   |
| (c) Total Federal Election Activity (add Lines 30(a)(i), 30(a)(ii) and 30(b))....              | 0.00 | 0.00                          |                                   |
| 31. Total Disbursements (add Lines 21(c), 22, 23, 24, 25, 26, 27, 28(d), 29 and 30(c))..       | 0.00 | 468625.00                     |                                   |
| 32. Total Federal Disbursements (subtract Line 21(a)(ii) and Line 30(a)(ii) from Line 31)..... | 0.00 | 468625.00                     |                                   |

**DETAILED SUMMARY PAGE**  
of Disbursements

FEC Form 3X (Rev. 02/2003)

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| III. Net Contributions/Operating Expenditures                                       | COLUMN A<br>Total This Period | COLUMN B<br>Calendar Year-to-Date |
|---|-------------------------------|-----------------------------------|
| 33. Total Contributions (other than loans)<br>from Line 11(d), page 3) .....        | 53724.00                      | 518691.26                         |
| 34. Total Contribution Refunds<br>(from Line 28(d)) .....                           | 0.00                          | 125.00                            |
| 35. Net Contributions (other than loans)<br>(subtract Line 34 from Line 33) .....   | 53724.00                      | 518566.26                         |
| 36. Total Federal Operating Expenditures<br>(add Line 21(a)(i) and Line 21(b))..... | 0.00                          | 0.00                              |
| 37. Offsets to Operating Expenditures<br>(from Line 15, page 3) .....               | 0.00                          | 0.00                              |
| 38. Net Operating Expenditures<br>(subtract Line 37 from Line 36) .....             | 0.00                          | 0.00                              |

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 6 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Jamie V Blond

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

985.28

Date of Receipt

M M / D D / Y Y Y Y  
1 0 / 1 5 / 2 0 1 0

Transaction ID: 9614365

Amount of Each Receipt this Period

50.00

**B.**

Full Name (Last, First, Middle Initial)

Mary Abigail Caedo

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

558.79

Date of Receipt

M M / D D / Y Y Y Y  
1 0 / 1 5 / 2 0 1 0

Transaction ID: 9614399

Amount of Each Receipt this Period

29.41

**C.**

Full Name (Last, First, Middle Initial)

Ryan C Gold

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

500.08

Date of Receipt

M M / D D / Y Y Y Y  
1 0 / 1 5 / 2 0 1 0

Transaction ID: 9614551

Amount of Each Receipt this Period

26.32

**SUBTOTAL** of Receipts This Page (optional) .....

105.73

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 7 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

George R Hornig

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1979.23

Date of Receipt

M M / D D / Y Y Y Y  
1 0 / 1 5 / 2 0 1 0

Transaction ID: 9614602

Amount of Each Receipt this Period

104.17

**B.**

Full Name (Last, First, Middle Initial)

Anthony J. LaCivita

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M / D D / Y Y Y Y  
1 0 / 1 5 / 2 0 1 0

Transaction ID: 9614672

Amount of Each Receipt this Period

20.83

**C.**

Full Name (Last, First, Middle Initial)

Brian P Mc Govern

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

412.24

Date of Receipt

M M / D D / Y Y Y Y  
1 0 / 1 5 / 2 0 1 0

Transaction ID: 9614739

Amount of Each Receipt this Period

20.83

**SUBTOTAL** of Receipts This Page (optional) .....

145.83

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 8 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Andrew L McCormick

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

412.24

Date of Receipt

M M / D D / Y Y Y Y Y  
1 0 / 1 5 / 2 0 1 0

Transaction ID: 9614743

Amount of Each Receipt this Period

20.83

**B.**

Full Name (Last, First, Middle Initial)

Jeffrey D Melnik

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M / D D / Y Y Y Y Y  
1 0 / 1 5 / 2 0 1 0

Transaction ID: 9614754

Amount of Each Receipt this Period

20.83

**C.**

Full Name (Last, First, Middle Initial)

Paul G Scheufele

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

722.15

Date of Receipt

M M / D D / Y Y Y Y Y  
1 0 / 1 5 / 2 0 1 0

Transaction ID: 9614893

Amount of Each Receipt this Period

55.55

**SUBTOTAL** of Receipts This Page (optional) .....

97.21

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 9 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Jerry L Smith

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

750.00

Date of Receipt

M M / D D / Y Y Y Y  
1 0 / 1 5 / 2 0 1 0

Transaction ID: 9614926

Amount of Each Receipt this Period

50.00

**B.**

Full Name (Last, First, Middle Initial)

Mark C Styslinger

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

395.77

Date of Receipt

M M / D D / Y Y Y Y  
1 0 / 1 5 / 2 0 1 0

Transaction ID: 9614950

Amount of Each Receipt this Period

20.83

**C.**

Full Name (Last, First, Middle Initial)

Danielle R. Wescott

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

250.00

Date of Receipt

M M / D D / Y Y Y Y  
1 0 / 1 5 / 2 0 1 0

Transaction ID: 9614994

Amount of Each Receipt this Period

13.12

**SUBTOTAL** of Receipts This Page (optional) .....

83.95

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 10 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Jamie V Blond

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1000.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 0 / 3 1 / 2 0 1 0

Transaction ID: 9615077

Amount of Each Receipt this Period

14.72

**B.**

Full Name (Last, First, Middle Initial)

George R Hornig

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.40

Date of Receipt

M M / D D / Y Y Y Y Y  
1 0 / 3 1 / 2 0 1 0

Transaction ID: 9615311

Amount of Each Receipt this Period

104.17

**C.**

Full Name (Last, First, Middle Initial)

Anthony J. LaCivita

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y Y  
1 0 / 3 1 / 2 0 1 0

Transaction ID: 9615381

Amount of Each Receipt this Period

20.83

**SUBTOTAL** of Receipts This Page (optional) .....

139.72

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 11 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Andrew L McCormick

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

433.07

Date of Receipt

M M / D D / Y Y Y Y  
1 0 / 3 1 / 2 0 1 0

Transaction ID: 9615451

Amount of Each Receipt this Period

20.83

**B.**

Full Name (Last, First, Middle Initial)

Paul G Scheufele

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

777.70

Date of Receipt

M M / D D / Y Y Y Y  
1 0 / 3 1 / 2 0 1 0

Transaction ID: 9615600

Amount of Each Receipt this Period

55.55

**C.**

Full Name (Last, First, Middle Initial)

Mark C Styslinger

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y  
1 0 / 3 1 / 2 0 1 0

Transaction ID: 9615656

Amount of Each Receipt this Period

20.83

**SUBTOTAL** of Receipts This Page (optional) .....

97.21

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 12 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Andrew L McCormick

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

451.38

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 1 5 / 2 0 1 0

Transaction ID: 9690703

Amount of Each Receipt this Period

18.31

**B.**

Full Name (Last, First, Middle Initial)

Jerry L Smith

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

850.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 1 5 / 2 0 1 0

Transaction ID: 9690883

Amount of Each Receipt this Period

100.00

**C.**

Full Name (Last, First, Middle Initial)

Thomas T. Chung

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11324354477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

180.80

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 13 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

David S Alterman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11324364477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Richard M Dart

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11324394477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Adam M Hodes

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11324404477

Amount of Each Receipt this Period

41.64

P/R Deduction (\$13.88 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.62

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 14 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Douglas Nieters

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

473.17

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11324474477

Amount of Each Receipt this Period

78.96

P/R Deduction (\$26.32 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

John R Bateman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11324504477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Michele Hirsch

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11324524477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

172.68

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 15 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Glenn M Ohlmann

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11324534477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Karl L Sussman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11324574477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Michael J Caruso

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11324584477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

93.75

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 16 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

David A Cantor

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11324594477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Jeffrey S Sohm

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11324604477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Francis H Lusardi

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11324634477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

156.24

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 17 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

George W Coleman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

875.07

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11324654477

Amount of Each Receipt this Period

125.01

P/R Deduction (\$41.67 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Chris Campbell

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11324704477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Mika A. Toikka

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

875.07

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11324714477

Amount of Each Receipt this Period

125.01

P/R Deduction (\$41.67 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

312.51

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 18 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Samuel V Petrucci

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11324734477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Michael J Cash

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11324744477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Karen E Suric

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11324774477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

124.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 19 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Kenneth J Dougherty

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11324784477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Michael E Donnelly

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11324794477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Alan L Davis

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11324804477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

124.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 20 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Peter Song

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11324834477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Salvatore Favia

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11324844477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Gordon Greer

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11324854477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

156.21

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 21 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

John S Harrison

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2187.57

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11324864477

Amount of Each Receipt this Period

312.51

P/R Deduction (\$104.17 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Joseph F Huber

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

875.07

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11324894477

Amount of Each Receipt this Period

125.01

P/R Deduction (\$41.67 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Mark A Katz

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11324924477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

468.75

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 22 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Ryan E. Schlitt

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11324974477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Gerald M Lodge

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2187.57

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11324994477

Amount of Each Receipt this Period

312.51

P/R Deduction (\$104.17 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

William O. Brenton

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325024477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

375.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 23 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Cari Berlage Lodge

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325044477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Thomas H Ritchie

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325064477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Marcia L Kessler

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325084477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

156.24

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 24 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Michael P Mulholland

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325144477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Albert S Park

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2187.57

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325154477

Amount of Each Receipt this Period

312.51

P/R Deduction (\$104.17 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Janet M. Yung

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325164477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

437.49

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 25 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Ira A Negin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325174477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Edward A Poletti

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325194477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Carlos Onis

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2187.57

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325204477

Amount of Each Receipt this Period

312.51

P/R Deduction (\$104.17 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

437.49

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 26 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

John Craig Oxman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325214477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Semyon K Apter

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325224477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Ivy B Dodes

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325234477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

124.95

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 27 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Timothy E. Perry

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325244477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Douglas L Paul

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2187.57

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325254477

Amount of Each Receipt this Period

312.51

P/R Deduction (\$104.17 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Stephen H. Can

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2100.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325264477

Amount of Each Receipt this Period

300.00

P/R Deduction (\$100.00 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

675.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 28 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Bernard S Loreda

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325294477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Michael C Speller

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325304477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Michael H. Schoen

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2187.57

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325324477

Amount of Each Receipt this Period

312.51

P/R Deduction (\$104.17 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

406.23

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 29 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Ana R Byrne

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325334477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

William Leigh Smith

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325374477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Gavin H Wolfe

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

875.07

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325384477

Amount of Each Receipt this Period

125.01

P/R Deduction (\$41.67 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

187.50

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Armand C St.Paul

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

421.12

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325404477

Amount of Each Receipt this Period

78.96

P/R Deduction (\$26.32 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Richard Soto

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

210.56

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325414477

Amount of Each Receipt this Period

39.48

P/R Deduction (\$13.16 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

John C Robertshaw

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2187.57

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325434477

Amount of Each Receipt this Period

312.51

P/R Deduction (\$104.17 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

430.95

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 31 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Patrice Aitken

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325444477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

James D Garrity

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

425.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325454477

Amount of Each Receipt this Period

75.00

P/R Deduction (\$25.00 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Lewis H Wirshba

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2187.57

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325464477

Amount of Each Receipt this Period

312.51

P/R Deduction (\$104.17 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

450.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 32 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Richard A Hightower

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325474477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Stephen L Oplinger

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

909.93

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325494477

Amount of Each Receipt this Period

129.99

P/R Deduction (\$43.33 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Michael S Isikow

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325504477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

254.97

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Steven H Garnett

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

875.07

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325514477

Amount of Each Receipt this Period

125.01

P/R Deduction (\$41.67 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Nicole M. Andres

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

239.66

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325524477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Timothy J Croak

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

420.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325534477

Amount of Each Receipt this Period

60.00

P/R Deduction (\$20.00 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

216.27

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

George C Lin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325544477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Robert Arsov

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325564477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Anthony M. O'Connor Jr.

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325594477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

124.95

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Patrick R. Ward

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325634477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Ladd W McQuade

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2187.57

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325644477

Amount of Each Receipt this Period

312.51

P/R Deduction (\$104.17 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Michael Paliotta

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325654477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

406.23

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

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(check only one)

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Deborah A Langiulli

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

875.07

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325664477

Amount of Each Receipt this Period

125.01

P/R Deduction (\$41.67 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Paul J O'Keefe

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

875.07

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325674477

Amount of Each Receipt this Period

125.01

P/R Deduction (\$41.67 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Faye Yezril

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

875.07

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325714477

Amount of Each Receipt this Period

125.01

P/R Deduction (\$41.67 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

375.03

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 37 / 225

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

John E Cogan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

969.29

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325754477

Amount of Each Receipt this Period

176.46

P/R Deduction (\$58.82 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Andrew E Firstman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325774477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Joseph Maisse

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325804477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

238.95

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 38 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

David Y. Ping

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325814477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Oleg N Baranetz

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325824477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

William Lau

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325834477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

124.95

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Jim Captain

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325844477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Baskaran Muthusamy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325854477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Badarinath Vinjamuri

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325864477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

93.72

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 40 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Raymond S Wood

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2105.28

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325914477

Amount of Each Receipt this Period

394.74

P/R Deduction (\$131.58 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

James M Potesky

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

216.72

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325924477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

William R Bors Jr

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325954477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

457.23

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 41 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Andrew M Hutcher

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11325964477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Amin Khadduri

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2187.36

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11326004477

Amount of Each Receipt this Period

312.48

P/R Deduction (\$104.16 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Ian J Buckley

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11326024477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

437.46

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 42 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Sheldon Hanau

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2187.55

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11326034477

Amount of Each Receipt this Period

312.51

P/R Deduction (\$104.17 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Jennifer Pepe McDade

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11326044477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Philip S Vasan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2187.36

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11326084477

Amount of Each Receipt this Period

312.48

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

687.48

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 43 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Bartholomew F Pinello

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11326094477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Michelle A Natalicchio

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11326114477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Jill E Ford

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11326134477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

124.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 44 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Stephen Ellenberg

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

276.36

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11326144477

Amount of Each Receipt this Period

39.48

P/R Deduction (\$13.16 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Joel L Shandelman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11326154477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Samuel H Schwartz

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

850.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11326164477

Amount of Each Receipt this Period

150.00

P/R Deduction (\$50.00 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

220.74

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 45 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Bruce W Ling

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1145.76

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11326194477

Amount of Each Receipt this Period

312.48

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Peter V Meyer

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11326224477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Alexander V Kroner

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11326244477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

437.46

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 46 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Patrick A Remmert Jr

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11326264477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Louis J Impellizeri

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11326274477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Thomas E Fusco

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2187.36

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11326304477

Amount of Each Receipt this Period

312.48

P/R Deduction (\$104.16 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

406.23

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 47 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Jeffrey W Jaenicke

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11326324477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Colleen A Graham

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11326334477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Daniel H Miller

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11326344477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

156.21

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 48 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Edmund F Taylor

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2187.57

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11326364477

Amount of Each Receipt this Period

312.51

P/R Deduction (\$104.17 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

George L Michaels

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11326384477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Orlando W Knauss

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11326464477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

406.26

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 49 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Robert J Harmon

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11326484477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Gautam S Gujral

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2187.36

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11326494477

Amount of Each Receipt this Period

312.48

P/R Deduction (\$104.16 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Edward S Nadel

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11326514477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

406.23

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 50 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Constance V Sailer

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

875.07

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11326534477

Amount of Each Receipt this Period

125.01

P/R Deduction (\$41.67 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Reiner Boehning

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11326554477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Dennis J Blyisick

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11326624477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

218.76

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 51 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Steven M Kaiman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11326654477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Brent A Patry

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.25

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11326674477

Amount of Each Receipt this Period

166.65

P/R Deduction (\$55.55 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Patrick C Gorman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11326694477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

291.63

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 52 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Russell P Kaplan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

277.95

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11326724477

Amount of Each Receipt this Period

37.50

P/R Deduction (\$12.50 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Emmet A Murphy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11326734477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Eduardo Vieira

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11326744477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

162.48

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 53 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Michael A Legaspi

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11326754477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Edward A Johnson

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11326764477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Steven C Mahoney

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

231.69

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11326774477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

124.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 54 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Richard J Montero

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

399.96

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11326784477

Amount of Each Receipt this Period

99.99

P/R Deduction (\$33.33 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Cristina Von Bargaen

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

875.07

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11326804477

Amount of Each Receipt this Period

125.01

P/R Deduction (\$41.67 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

David N. Frank

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2187.36

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11326824477

Amount of Each Receipt this Period

312.48

P/R Deduction (\$104.16 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

537.48

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 55 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Lawrence M Latimer

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11326844477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

James J Jaxon

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11326884477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

David J Barrish

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11326894477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

187.47

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 56 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

David S Goldman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11326904477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Neil Berkowitz

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11326924477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Todd D Aldrich

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11326944477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

93.69

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 57 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Victoria L Harmon

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11326974477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Maria Chiodi

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

617.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11327004477

Amount of Each Receipt this Period

88.23

P/R Deduction (\$29.41 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Edward J Kelly

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11327024477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

181.95

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 58 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Christina Richardson-Bourke

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11327064477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Vishwanathan R. Raghuraman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11327084477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Theodore M. Lowicki

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11327104477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

125.01

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 59 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Roy E. Alexander

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11327124477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Christopher J. Murphy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

231.69

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11327174477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Jodi DeVito

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

946.23

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11327234477

Amount of Each Receipt this Period

157.89

P/R Deduction (\$52.63 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

220.38

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 60 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Skip S Seeder

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11327254477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Craig Sterling

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11327274477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Joseph M. Petrecca

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11327294477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

156.24

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 61 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

David C. Sullivan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11327304477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Julie Kalish

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11327314477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Heather L. Mosbacher

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11327324477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

93.72

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 62 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Mark E Zima

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11327354477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Lawrence Shurman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11327364477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Paul M Simons

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11327374477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

124.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 63 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Mary H. Lorimer

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11327384477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Philip C. King

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11327394477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Frank McGee

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11327434477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

93.72

**TOTAL** This Period (last page this line number only) .....

**SCHEDULE A (FEC Form 3X)  
ITEMIZED RECEIPTS**Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 64 / 225

(check only one)

|   |                              |                              |                             |                             |                             |                             |                             |                             |
|---|------------------------------|------------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|
| <input checked="" type="checkbox"/> 11a | <input type="checkbox"/> 11b | <input type="checkbox"/> 11c | <input type="checkbox"/> 12 | <input type="checkbox"/> 13 | <input type="checkbox"/> 14 | <input type="checkbox"/> 15 | <input type="checkbox"/> 16 | <input type="checkbox"/> 17 |
|---|------------------------------|------------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|-----------------------------|

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Robert E Joyce

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

218.61

Date of Receipt

|   |   |   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|---|---|
| M | M | / | D | D | / | Y | Y | Y | Y |
| 1 | 1 | / | 2 | 2 | / | 2 | 0 | 1 | 0 |

Transaction ID: PR11327464477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Sem-  
i-Monthly)**B.**

Full Name (Last, First, Middle Initial)

Joshua Walfish

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

218.82

Date of Receipt

|   |   |   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|---|---|
| M | M | / | D | D | / | Y | Y | Y | Y |
| 1 | 1 | / | 2 | 2 | / | 2 | 0 | 1 | 0 |

Transaction ID: PR11327544477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Sem-  
i-Monthly)**C.**

Full Name (Last, First, Middle Initial)

William E. Beck

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Occupation

Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

437.43

Date of Receipt

|   |   |   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|---|---|
| M | M | / | D | D | / | Y | Y | Y | Y |
| 1 | 1 | / | 2 | 2 | / | 2 | 0 | 1 | 0 |

Transaction ID: PR11327574477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Sem-  
i-Monthly)

SUBTOTAL of Receipts This Page (optional) .....

124.98

TOTAL This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 65 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Todd M. Orlich

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

861.78

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11327624477

Amount of Each Receipt this Period

138.15

P/R Deduction (\$46.05 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Gregory L. Piccinino

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11327634477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Jeffrey L. Gottheim

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11327644477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

231.90

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 66 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Charles P. Pieper

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2187.36

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11327684477

Amount of Each Receipt this Period

312.48

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Mattia E Filiaci

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11327714477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Mike D. Custar

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11327774477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

406.20

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 67 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Mark Barres

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11327814477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

William I Woodson

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

842.08

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11327824477

Amount of Each Receipt this Period

157.89

P/R Deduction (\$52.63 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Robert S Demetris

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11327834477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

251.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 68 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Sanjeev Chaurasia

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11327854477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Jeremy A. Siegel

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11327874477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Sean T Flynn

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.38

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11327884477

Amount of Each Receipt this Period

83.34

P/R Deduction (\$27.78 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

177.06

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 69 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Bruce K. Lee

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2187.57

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11327894477

Amount of Each Receipt this Period

312.51

P/R Deduction (\$104.17 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Yong Yan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11327914477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Raffi Makhoul

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11327924477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

406.23

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 70 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Paul R Burraston

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11327934477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Joshua A. Lukeman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11327944477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Alpa D Panchal

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11327954477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

124.95

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 71 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

David S. Sirkin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11327994477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Clare M. Giffin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11328004477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Jeffrey D. Schor

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11328024477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

156.24

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 72 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Timothy J. Fitzsimmons

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11328034477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Gita S. Redfearn

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11328104477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Yair Yaish

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11328164477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

124.95

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 73 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Ellen M. Ho

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11328204477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Matthew Hodus

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11328224477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Michael Smushkovich

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11328284477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

93.72

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 74 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Matthew B. Waldman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11328404477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Kevin S. Monaco

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11328414477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Jiawei Zhang

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11328444477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

156.21

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 75 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

George E. Dale

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11328454477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

George T Tasolides

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11328494477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Cecilia E Lagerman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11328504477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

156.21

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 76 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Thomas A. Finlan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11328534477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Gregory J Dabal

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11328554477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Douglas A Simons

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2187.57

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11328584477

Amount of Each Receipt this Period

312.51

P/R Deduction (\$104.17 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

406.26

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 77 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Mark L Washburn

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11328624477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Stephen P. Keefer

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11328644477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Neil A. Mitchell

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2187.36

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11328664477

Amount of Each Receipt this Period

312.48

P/R Deduction (\$104.16 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

406.23

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 78 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

James M Bernard

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11328694477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Anna Vigdorova

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11328714477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Lynn L. Little

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11328734477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

124.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 79 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Adrienne Dale

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11328764477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Alan C. Wolman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11328774477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Andrew G. Ewing

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

425.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11328794477

Amount of Each Receipt this Period

75.00

P/R Deduction (\$25.00 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

168.75

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 80 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Jason M Satsky

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11328804477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Neil Carragher

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2187.36

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11328854477

Amount of Each Receipt this Period

312.48

P/R Deduction (\$104.16 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Raed J Elkhatib

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11328874477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

374.97

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 81 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Roman Markevich

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11328884477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Jeffrey C. Ponko

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11328894477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

David T Chong

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11328904477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

124.95

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 82 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

David M. Gray

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2187.36

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11328924477

Amount of Each Receipt this Period

312.48

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Thomas D. Fleming

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11328964477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Brent D. Robbins

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11329004477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

406.20

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 83 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Jaynita Sodhi

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11329014477

Amount of Each Receipt this Period

41.64

P/R Deduction (\$13.88 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Robert C. Allsbrook

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

252.05

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11329034477

Amount of Each Receipt this Period

37.50

P/R Deduction (\$12.50 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Anthony P Brookfield

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

308.91

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11329084477

Amount of Each Receipt this Period

44.13

P/R Deduction (\$14.71 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

123.27

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 84 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Anthony DeChellis

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

4687.36

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11329094477

Amount of Each Receipt this Period

312.48

P/R Deduction (\$104.16 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Mahesh Rajagopalan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11329134477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Elizabeth J Canjar

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11329204477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

374.94

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

John Fisher

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11329214477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Robert J Hennessey

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.80

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11329224477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Matthew S DeSalvo

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2187.36

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11329264477

Amount of Each Receipt this Period

312.48

P/R Deduction (\$104.16 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

406.23

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 86 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Dwight A.L. Skerritt

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11329294477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Gregory E. Usherovich

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11329324477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

George F. Kasten

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11329354477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

156.21

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 87 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Tracy L Welch

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11329394477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Steven J Reis

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11329414477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

James Wallace

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11329424477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

125.01

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 88 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Russell J Burns

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

875.07

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11329434477

Amount of Each Receipt this Period

125.01

P/R Deduction (\$41.67 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Scott Corman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2187.57

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11329444477

Amount of Each Receipt this Period

312.51

P/R Deduction (\$104.17 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Sankar R. Nair

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11329464477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

468.75

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

John L Bordes

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11329494477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Nirmala Durgana

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

210.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11329524477

Amount of Each Receipt this Period

30.00

P/R Deduction (\$10.00 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

John Kneafsey

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11329534477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

123.75

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 90 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Daniel Slattery

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11329574477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Mohamed Subrati

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

350.07

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11329584477

Amount of Each Receipt this Period

50.01

P/R Deduction (\$16.67 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Judith E. Smith

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.70

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11329594477

Amount of Each Receipt this Period

83.34

P/R Deduction (\$27.78 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

195.84

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 91 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Eric Eckholdt

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11329614477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Rian J Murphy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11329624477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Satyajit Bhattacharya

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11329634477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

124.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 92 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Todd A Buchner

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11329644477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Thomas Prevost

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

850.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11329664477

Amount of Each Receipt this Period

150.00

P/R Deduction (\$50.00 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Lee Reichman III

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11329704477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

212.46

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 93 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Paolo Mittiga

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11329714477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

James B Drvostep

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11329734477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

William L. Raincsuk

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2187.36

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11329754477

Amount of Each Receipt this Period

312.48

P/R Deduction (\$104.16 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

406.20

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Joseph A. Rosner

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11329764477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Charles A Skowron

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11329774477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Jamie L. Cook

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11329784477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

156.24

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 95 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Joseph Favaro

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11329824477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Yukiko Manka

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11329854477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Karen M Snow

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11329874477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

124.95

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 96 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Tom W Bilzi

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11329924477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Sean C. Gray

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11329954477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Christopher J. Ceraso

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11329974477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

156.24

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 97 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Jerald E Slowik

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11329984477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Andrew B Federbusch

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1050.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11329994477

Amount of Each Receipt this Period

150.00

P/R Deduction (\$50.00 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Marc L Yannaco

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11330004477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

212.46

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Edward J McCann

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

421.12

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11330034477

Amount of Each Receipt this Period

78.96

P/R Deduction (\$26.32 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Jason R Woods

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11330044477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Doug J. Kepple

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2187.57

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11330074477

Amount of Each Receipt this Period

312.51

P/R Deduction (\$104.17 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

422.73

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Mark Killian

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11330114477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Nicole P Douillet

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11330134477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Shawn J Sullivan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11330174477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

124.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 100 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Yongchu Song

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11330204477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Kevin E Naughton

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11330264477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

William J. Belleville Jr.

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11330284477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

156.21

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 101 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Joshua S Blaine

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11330294477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Timothy A. Dod

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1749.93

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11330314477

Amount of Each Receipt this Period

249.99

P/R Deduction (\$83.33 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Steven M. Bock

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11330324477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

343.71

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 102 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

George Ching

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11330334477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Edward Ghislandi

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11330334477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Bryant A Matthews

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11330334477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

93.72

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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(check only one)

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Michael J Valentinas

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11330374477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Susan Atkinson-Alcock

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR11330404477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

James L Amine

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.35

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR12364274477

Amount of Each Receipt this Period

416.67

P/R Deduction (\$138.89 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

510.39

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Robert L Basso

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.35

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR12364344477

Amount of Each Receipt this Period

416.67

P/R Deduction (\$138.89 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Mylene P. Calingo

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

205.94

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR12364524477

Amount of Each Receipt this Period

44.13

P/R Deduction (\$14.71 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Maureen R Coen

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.40

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR12364624477

Amount of Each Receipt this Period

166.68

P/R Deduction (\$55.56 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

627.48

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Michael A Criscito

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.35

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR12364664477

Amount of Each Receipt this Period

41.67

P/R Deduction (\$13.89 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Matthew Donner

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR12364754477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Stephen R Dunne

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.38

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR12364814477

Amount of Each Receipt this Period

83.34

P/R Deduction (\$27.78 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

187.50

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 106 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Matthew W Gorman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR12365064477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Maurice L. Holmes

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.70

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR12365284477

Amount of Each Receipt this Period

83.34

P/R Deduction (\$27.78 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Eric Keatley

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

425.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR12365394477

Amount of Each Receipt this Period

75.00

P/R Deduction (\$25.00 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

220.83

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Bruce Klein

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

926.52

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR12365444477

Amount of Each Receipt this Period

125.01

P/R Deduction (\$41.67 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Ann K Laemmle

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR12365534477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Stephen McMorran

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.25

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR12365774477

Amount of Each Receipt this Period

166.65

P/R Deduction (\$55.55 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

322.92

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Alex I Miles

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR12365834477

Amount of Each Receipt this Period

41.64

P/R Deduction (\$13.88 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Stefano Natella

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2187.36

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR12365904477

Amount of Each Receipt this Period

312.48

P/R Deduction (\$104.16 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Moshe Orenbuch

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

926.52

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR12365944477

Amount of Each Receipt this Period

125.01

P/R Deduction (\$41.67 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

479.13

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Verdun S. Perry

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.25

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR12366004477

Amount of Each Receipt this Period

166.65

P/R Deduction (\$55.55 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Robert N. Pomroy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2187.57

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR12366024477

Amount of Each Receipt this Period

312.51

P/R Deduction (\$104.17 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Joseph E Reece

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2187.36

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR12366064477

Amount of Each Receipt this Period

312.48

P/R Deduction (\$104.16 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

791.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 110 / 225

(check only one)

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Joseph R Sevia

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

425.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR12366234477

Amount of Each Receipt this Period

75.00

P/R Deduction (\$25.00 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Stuart F Smith

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1850.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR12366364477

Amount of Each Receipt this Period

150.00

P/R Deduction (\$50.00 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Robert M. Spingarn

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

421.12

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR12366404477

Amount of Each Receipt this Period

78.96

P/R Deduction (\$26.32 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

303.96

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 111 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Darci J Tobin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR12366504477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Patrick J Vaughan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

210.56

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR12366574477

Amount of Each Receipt this Period

39.48

P/R Deduction (\$13.16 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Albert L Seward III

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2187.36

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR12691134477

Amount of Each Receipt this Period

312.48

P/R Deduction (\$104.16 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

414.45

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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for each category of the  
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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Anthony Blasi

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR12691224477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Christopher D Suozzi

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR12691334477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Dean Wilson Ervin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2550.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR12691394477

Amount of Each Receipt this Period

450.00

P/R Deduction (\$150.00 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

543.72

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Jeffrey P Utz

Mailing Address 11 Madison Ave  
Apt. 305

City State Zip Code  
New York NY 10010

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

842.08

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR12691854477

Amount of Each Receipt this Period

157.89

P/R Deduction (\$52.63 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Kyle J. Elliott

Mailing Address 11 Madison Ave

City State Zip Code  
New York NY 10010

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

210.56

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR12692044477

Amount of Each Receipt this Period

39.48

P/R Deduction (\$13.16 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Michaelle I. Burstin

Mailing Address 11 Madison Ave

City State Zip Code  
New York NY 10010

FEC ID number of contributing  
federal political committee.

**C**

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Occupation  
Investment Banking

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Aggregate Year-to-Date ▼

421.12

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR12692254477

Amount of Each Receipt this Period

78.96

P/R Deduction (\$26.32 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

276.33

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Stephen D Simberg

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

823.48

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR12692674477

Amount of Each Receipt this Period

176.46

P/R Deduction (\$58.82 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Scott M Townell

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

421.12

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR16350784477

Amount of Each Receipt this Period

78.96

P/R Deduction (\$26.32 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Katherine C Joyce

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

453.90

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR17218344477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

317.91

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Victoria A Longo

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

852.06

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR17871574477

Amount of Each Receipt this Period

147.81

P/R Deduction (\$49.27 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Lisa A. Dorenfest

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

552.72

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR17871584477

Amount of Each Receipt this Period

78.96

P/R Deduction (\$26.32 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Wai L Chan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

212.50

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR17871614477

Amount of Each Receipt this Period

37.50

P/R Deduction (\$12.50 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

264.27

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Jon A Bernstein

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR17884474477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

John C Broderick

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

552.72

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR17884484477

Amount of Each Receipt this Period

78.96

P/R Deduction (\$26.32 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Alastair J Cairns

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR17884494477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

203.94

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 117 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Christopher Carter

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.38

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR17884504477

Amount of Each Receipt this Period

83.34

P/R Deduction (\$27.78 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Ann Larsen

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR17884584477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Frank R Malarkey

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR17884604477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

177.09

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 118 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Steven E Ploder

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR17884624477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Benjamin A Ruf

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR17884654477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Peter O Skoglund

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

842.08

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR17884664477

Amount of Each Receipt this Period

157.89

P/R Deduction (\$52.63 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

251.61

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 119 / 225

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

William J Szilasi

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

421.12

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR17884684477

Amount of Each Receipt this Period

78.96

P/R Deduction (\$26.32 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Richard S Wallach

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR17884714477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Andrew J Yao

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR17884724477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

203.94

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 120 / 225

(check only one)

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Nancy A Becker

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR22263734477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Richard Blond

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

933.32

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR22263754477

Amount of Each Receipt this Period

150.00

P/R Deduction (\$50.00 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Biagio Bonanno

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR22263774477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

212.52

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 121 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Michael S Burke

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

617.61

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR22263794477

Amount of Each Receipt this Period

88.23

P/R Deduction (\$29.41 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Michele D Cubic

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

807.87

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR22263814477

Amount of Each Receipt this Period

115.41

P/R Deduction (\$38.47 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Denise A Fiallo

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR22263864477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

266.13

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Kurt R Gearhart

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR22263884477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Todor P Glogov

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.35

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR22263894477

Amount of Each Receipt this Period

41.67

P/R Deduction (\$13.89 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Simon P Goodman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR22263904477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.65

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 123 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Nadeem M. Hayat

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.80

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR22263924477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

William F Hughes

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

907.95

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR22263944477

Amount of Each Receipt this Period

125.01

P/R Deduction (\$41.67 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Christopher D Joneleit

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

210.56

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR22263964477

Amount of Each Receipt this Period

39.48

P/R Deduction (\$13.16 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

195.75

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 124 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Derek K Jones

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR22263974477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Michael Kurinets

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR22264024477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Carlos A Laboy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2187.36

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR22264034477

Amount of Each Receipt this Period

312.48

P/R Deduction (\$104.16 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

437.46

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 125 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Joyce S Lim

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

227.04

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR22264044477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Mara B Lipner

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR22264054477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Malcolm Campbell MacColl

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR22264064477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

124.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Todd Macy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR22264074477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Yuri Mamukov

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR22264084477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Thomas M. Marchetti

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.60

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR22264094477

Amount of Each Receipt this Period

41.66

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

135.38

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 127 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Dale R Meder

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

227.04

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR22264104477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Keith G Miller

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR22264114477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Scott C. Miller

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR22264124477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

124.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 128 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Michael S Nies

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR22264164477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Shantha Ozgen

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

453.90

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR22264184477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Darlene Pasquill

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR22264194477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

156.21

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 129 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Steven C Pierson

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2187.57

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR22264204477

Amount of Each Receipt this Period

312.51

P/R Deduction (\$104.17 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Hari Raghavan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR22264214477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Goutham Ramachandra

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR22264224477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

406.23

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 130 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Joan A Rippon

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR22264234477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Jack L Rubin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

425.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR22264244477

Amount of Each Receipt this Period

75.00

P/R Deduction (\$25.00 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Ilicia P Silverman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR22264264477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

137.49

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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(check only one)

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☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

David W Smythe

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

548.18

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR22264274477

Amount of Each Receipt this Period

76.74

P/R Deduction (\$25.58 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Daniel L Eggers

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

552.72

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR22264414477

Amount of Each Receipt this Period

78.96

P/R Deduction (\$26.32 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Henry N Goodman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

308.91

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR22264434477

Amount of Each Receipt this Period

44.13

P/R Deduction (\$14.71 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

199.83

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Michael Jakob

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR22264454477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Christopher R. McDonough

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

218.82

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR22264474477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Thomas P Rorke

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR22264484477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

156.24

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Matthew H. Wosk

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR22264514477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

John F. Delehanty

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

210.56

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR22312494477

Amount of Each Receipt this Period

39.48

P/R Deduction (\$13.16 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Robert J Bernstone

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR22336814477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

164.46

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Anthony K Kontoleon

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR22336834477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Anthony J O'Callaghan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR22336844477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Mark D Callahan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

437.43

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR22620774477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

187.47

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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for each category of the  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Robert S Flicker

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2187.57

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR23546114477

Amount of Each Receipt this Period

312.51

P/R Deduction (\$104.17 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

David B Andrews

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.70

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33028584477

Amount of Each Receipt this Period

83.34

P/R Deduction (\$27.78 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Timothy J Scanlon

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

850.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33028684477

Amount of Each Receipt this Period

150.00

P/R Deduction (\$50.00 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

545.85

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Christopher T Wagner

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

425.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33052504477

Amount of Each Receipt this Period

75.00

P/R Deduction (\$25.00 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Fredrik L Akesson

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

552.72

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33061314477

Amount of Each Receipt this Period

78.96

P/R Deduction (\$26.32 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Catalin V Barb

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

276.36

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33061334477

Amount of Each Receipt this Period

39.48

P/R Deduction (\$13.16 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

193.44

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Kyle C Baumgartner

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

276.36

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33061344477

Amount of Each Receipt this Period

39.48

P/R Deduction (\$13.16 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

John R Blackledge

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

276.36

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33061354477

Amount of Each Receipt this Period

39.48

P/R Deduction (\$13.16 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Edward F Bowen Jr.

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

276.36

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33061364477

Amount of Each Receipt this Period

39.48

P/R Deduction (\$13.16 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

118.44

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 138 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Sean T Brady

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1105.23

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33061374477

Amount of Each Receipt this Period

157.89

P/R Deduction (\$52.63 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Jessica H. Brennan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1105.23

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33061384477

Amount of Each Receipt this Period

157.89

P/R Deduction (\$52.63 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Keith Cadieux

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

276.36

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33061394477

Amount of Each Receipt this Period

39.48

P/R Deduction (\$13.16 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

355.26

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 139 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Peter M Carter

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

552.72

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33061404477

Amount of Each Receipt this Period

78.96

P/R Deduction (\$26.32 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Jonathan F Chiarieri

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

552.72

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33061414477

Amount of Each Receipt this Period

78.96

P/R Deduction (\$26.32 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Ricardo C. Cuenca

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

276.36

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33061424477

Amount of Each Receipt this Period

39.48

P/R Deduction (\$13.16 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

197.40

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 140 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Frederic W Dassori

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

276.36

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33061434477

Amount of Each Receipt this Period

39.48

P/R Deduction (\$13.16 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Mark L DePrizio

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

276.36

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33061444477

Amount of Each Receipt this Period

39.48

P/R Deduction (\$13.16 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Matthew J Dillig

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

552.72

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33061454477

Amount of Each Receipt this Period

78.96

P/R Deduction (\$26.32 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

157.92

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 141 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Robert E Durham

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1105.23

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33061464477

Amount of Each Receipt this Period

157.89

P/R Deduction (\$52.63 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Peter J Feeney

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1105.23

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33061474477

Amount of Each Receipt this Period

157.89

P/R Deduction (\$52.63 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Daniel P Fitzgerald

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

552.72

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33061494477

Amount of Each Receipt this Period

78.96

P/R Deduction (\$26.32 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

394.74

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 142 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Aaron M Gaydosik

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

276.36

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33061504477

Amount of Each Receipt this Period

39.48

P/R Deduction (\$13.16 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Hershel W. Gerson

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

276.36

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33061514477

Amount of Each Receipt this Period

39.48

P/R Deduction (\$13.16 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Mark A Haweny

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

224.30

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33061534477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

110.22

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 143 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Michael A Hood

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

214.38

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33061564477

Amount of Each Receipt this Period

35.73

P/R Deduction (\$11.91 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Thomas S Inman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

227.04

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33061574477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Jonathan Jacoby

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

227.04

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33061584477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

98.25

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 144 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Oksana Karpenko

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

227.04

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33061614477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Sanford M Katz

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

907.95

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33061624477

Amount of Each Receipt this Period

125.01

P/R Deduction (\$41.67 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Richard J Kirsch

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

453.90

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33061634477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

218.76

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 145 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Shanoop D. Kothari

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

227.04

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33061654477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Shane W. Kovacs

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

227.04

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33061664477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Michelle E Lee

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

227.04

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33061684477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

93.78

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 146 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Eugene Lelev

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

227.04

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33061694477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Edward V Lewandowski

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

453.90

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33061704477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Andres F Lozano

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

227.04

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33061714477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

125.01

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 147 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

David J Maloy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

907.95

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33061734477

Amount of Each Receipt this Period

125.01

P/R Deduction (\$41.67 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Bryan J Matthews

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

227.04

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33061744477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Charles T McKinney

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

453.90

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33061764477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

218.76

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 148 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Lee H Mewshaw

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

227.04

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33061774477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

David G Miller

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

227.04

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33061784477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Dolores O Miller

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

426.88

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33061794477

Amount of Each Receipt this Period

73.23

P/R Deduction (\$24.41 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

135.75

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Michael E Moran

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

428.58

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33061804477

Amount of Each Receipt this Period

71.43

P/R Deduction (\$23.81 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Mina P Nazemi

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

227.04

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33061844477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Paul E Noonan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

227.04

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33061854477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

133.95

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 150 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Theodore J Piper

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

227.04

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33061894477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Shane D Powers

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

214.38

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33061904477

Amount of Each Receipt this Period

35.73

P/R Deduction (\$11.91 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Michael A Proskin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

453.90

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33061914477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

129.48

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 151 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Philip J Struthers

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

421.12

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33061924477

Amount of Each Receipt this Period

78.96

P/R Deduction (\$26.32 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Justin A Waluch

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

210.56

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33061944477

Amount of Each Receipt this Period

39.48

P/R Deduction (\$13.16 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Gautam K Ahuja

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.38

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33583464477

Amount of Each Receipt this Period

83.34

P/R Deduction (\$27.78 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

201.78

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 152 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Garry T Collins

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

583.38

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33583504477

Amount of Each Receipt this Period

83.34

P/R Deduction (\$27.78 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Mickey E. Elbaum

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.69

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33583524477

Amount of Each Receipt this Period

41.67

P/R Deduction (\$13.89 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Solomon Fried

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.69

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33583534477

Amount of Each Receipt this Period

41.67

P/R Deduction (\$13.89 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.68

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Mary Gilmartin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

291.69

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33583544477

Amount of Each Receipt this Period

41.67

P/R Deduction (\$13.89 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

James F Martin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

453.90

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33583554477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Henry B Moog

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

480.22

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33583564477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.65

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Lee D Abbey

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

308.91

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33583574477

Amount of Each Receipt this Period

44.13

P/R Deduction (\$14.71 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Martin D Amann

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

308.91

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33583584477

Amount of Each Receipt this Period

44.13

P/R Deduction (\$14.71 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Bruce B. Anderson

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

308.91

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33583594477

Amount of Each Receipt this Period

44.13

P/R Deduction (\$14.71 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

132.39

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Keith G Angell

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

617.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33583604477

Amount of Each Receipt this Period

88.23

P/R Deduction (\$29.41 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Ashley Ater Gimbel

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

246.34

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33583614477

Amount of Each Receipt this Period

37.50

P/R Deduction (\$12.50 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Lauren E Barnes

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

308.91

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33583624477

Amount of Each Receipt this Period

44.13

P/R Deduction (\$14.71 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

169.86

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 156 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Rohit Bhatia

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

308.91

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33583634477

Amount of Each Receipt this Period

44.13

P/R Deduction (\$14.71 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Stephen Bielskie Jr.

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

308.91

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33583644477

Amount of Each Receipt this Period

44.13

P/R Deduction (\$14.71 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Joo Y Boe

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

323.62

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33583674477

Amount of Each Receipt this Period

44.13

P/R Deduction (\$14.71 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

132.39

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 157 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Roderick O Burns

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

617.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33583694477

Amount of Each Receipt this Period

88.23

P/R Deduction (\$29.41 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Paul V Calderone

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

617.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33583714477

Amount of Each Receipt this Period

88.23

P/R Deduction (\$29.41 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Miranda F. Chen

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

308.91

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33583724477

Amount of Each Receipt this Period

44.13

P/R Deduction (\$14.71 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

220.59

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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FOR LINE NUMBER: PAGE 158 / 225

(check only one)

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Simon Chiu

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

617.61

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33583734477

Amount of Each Receipt this Period

88.23

P/R Deduction (\$29.41 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Jennifer Costley

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

246.96

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33583754477

Amount of Each Receipt this Period

35.28

P/R Deduction (\$11.76 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Larry S Dickstein

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

308.91

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33583764477

Amount of Each Receipt this Period

44.13

P/R Deduction (\$14.71 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

167.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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FOR LINE NUMBER: PAGE 159 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Gregory C Dietrich

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

617.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33583774477

Amount of Each Receipt this Period

88.23

P/R Deduction (\$29.41 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

James Doherty

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

617.61

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33583784477

Amount of Each Receipt this Period

88.23

P/R Deduction (\$29.41 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Thomas J Drake

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

308.91

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33583794477

Amount of Each Receipt this Period

44.13

P/R Deduction (\$14.71 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

220.59

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Ray E. Egbert III

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

246.96

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33583804477

Amount of Each Receipt this Period

35.28

P/R Deduction (\$11.76 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Jack J Fondacaro

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

308.91

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33583854477

Amount of Each Receipt this Period

44.13

P/R Deduction (\$14.71 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Balaji Gopalakrishnan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

1235.22

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33583874477

Amount of Each Receipt this Period

176.46

P/R Deduction (\$58.82 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

255.87

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Srikanth Gurram

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

308.91

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33583894477

Amount of Each Receipt this Period

44.13

P/R Deduction (\$14.71 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Fabian Guzman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

308.91

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33583904477

Amount of Each Receipt this Period

44.13

P/R Deduction (\$14.71 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Bradley P Handler

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

227.21

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33583914477

Amount of Each Receipt this Period

31.23

P/R Deduction (\$10.41 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

119.49

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Amy B Hudson

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

426.85

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33583924477

Amount of Each Receipt this Period

73.08

P/R Deduction (\$24.36 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Susan H Jo

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

463.17

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33583934477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Michael J. Kim

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

231.69

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33583954477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.83

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Gregg R. Krieger

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

231.69

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33583964477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Ishan Lal

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

463.17

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33583974477

Amount of Each Receipt this Period

62.49

P/R Deduction (\$20.83 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Victor W. Lin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

231.69

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33583984477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

125.01

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Nancy McAllister

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

926.52

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33583994477

Amount of Each Receipt this Period

125.01

P/R Deduction (\$41.67 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Tully P Murphy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

231.69

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33584014477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Daniel B Payet

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

231.69

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33584034477

Amount of Each Receipt this Period

31.26

P/R Deduction (\$10.42 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

187.53

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

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for each category of the  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Alan R. Reifenberg

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

212.50

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33584054477

Amount of Each Receipt this Period

37.50

P/R Deduction (\$12.50 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Gene J Riccoboni

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

212.50

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33584064477

Amount of Each Receipt this Period

37.50

P/R Deduction (\$12.50 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Bradley E Roberts

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

212.50

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33584084477

Amount of Each Receipt this Period

37.50

P/R Deduction (\$12.50 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

112.50

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Nathan P Romano

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

850.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33584094477

Amount of Each Receipt this Period

150.00

P/R Deduction (\$50.00 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Anthony J Rose

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

425.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33584104477

Amount of Each Receipt this Period

75.00

P/R Deduction (\$25.00 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

John C Scarnavack

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

212.50

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33584124477

Amount of Each Receipt this Period

37.50

P/R Deduction (\$12.50 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

262.50

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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FOR LINE NUMBER: PAGE 167 / 225

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

David A Schrader

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

850.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33584134477

Amount of Each Receipt this Period

150.00

P/R Deduction (\$50.00 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Salvatore J Seguna

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

425.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33584144477

Amount of Each Receipt this Period

75.00

P/R Deduction (\$25.00 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Eric J Staub

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

421.12

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33584184477

Amount of Each Receipt this Period

78.96

P/R Deduction (\$26.32 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

303.96

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Marie L Rogan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33634944477

Amount of Each Receipt this Period

41.64

P/R Deduction (\$13.88 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Alan K Chen

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

328.23

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33683234477

Amount of Each Receipt this Period

46.89

P/R Deduction (\$15.63 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Kenneth R Deasy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

328.23

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR33683244477

Amount of Each Receipt this Period

46.89

P/R Deduction (\$15.63 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

135.42

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 169 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Dominick Abbatiello Jr.

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

210.56

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39315714477

Amount of Each Receipt this Period

39.48

P/R Deduction (\$13.16 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Jonathan B Adler

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

421.12

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39315724477

Amount of Each Receipt this Period

78.96

P/R Deduction (\$26.32 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Penelope L Allen

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

210.56

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39315754477

Amount of Each Receipt this Period

39.48

P/R Deduction (\$13.16 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

157.92

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 170 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Borislav B Arabadjiev

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

842.08

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39315764477

Amount of Each Receipt this Period

157.89

P/R Deduction (\$52.63 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Artis A. Arnold III

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

210.56

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39315774477

Amount of Each Receipt this Period

39.48

P/R Deduction (\$13.16 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Ada Aromando

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

212.50

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39315784477

Amount of Each Receipt this Period

37.50

P/R Deduction (\$12.50 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

234.87

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 171 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Wendy B. Benson

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

212.50

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39315794477

Amount of Each Receipt this Period

37.50

P/R Deduction (\$12.50 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Timothy P Bentham

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

425.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39315804477

Amount of Each Receipt this Period

75.00

P/R Deduction (\$25.00 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

David J Bobeck

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

421.12

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39315834477

Amount of Each Receipt this Period

78.96

P/R Deduction (\$26.32 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

191.46

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Henry Brad Brown

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

212.50

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39315844477

Amount of Each Receipt this Period

37.50

P/R Deduction (\$12.50 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Edin Buturovic

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

842.08

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39315864477

Amount of Each Receipt this Period

157.89

P/R Deduction (\$52.63 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Anthony L Celentano

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

212.50

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39315874477

Amount of Each Receipt this Period

37.50

P/R Deduction (\$12.50 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

232.89

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 173 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Howard Chen

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

425.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39315884477

Amount of Each Receipt this Period

75.00

P/R Deduction (\$25.00 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Christopher P Comey

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

210.56

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39315904477

Amount of Each Receipt this Period

39.48

P/R Deduction (\$13.16 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Brian F Connors

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

425.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39315914477

Amount of Each Receipt this Period

75.00

P/R Deduction (\$25.00 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

189.48

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 174 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

C. A. Dillon III

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

425.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39315944477

Amount of Each Receipt this Period

75.00

P/R Deduction (\$25.00 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Sean T Dillon

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

210.56

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39315954477

Amount of Each Receipt this Period

39.48

P/R Deduction (\$13.16 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Jennifer L Drag

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

210.56

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39315964477

Amount of Each Receipt this Period

39.48

P/R Deduction (\$13.16 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

153.96

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 175 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Thomas R Fay

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

210.56

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39315984477

Amount of Each Receipt this Period

39.48

P/R Deduction (\$13.16 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Jonathan I Feinstein

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

210.56

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39315994477

Amount of Each Receipt this Period

39.48

P/R Deduction (\$13.16 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Zachary Felshman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

421.12

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316004477

Amount of Each Receipt this Period

78.96

P/R Deduction (\$26.32 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

157.92

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 176 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Ritesh L Gadhiya

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

210.56

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316014477

Amount of Each Receipt this Period

39.48

P/R Deduction (\$13.16 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Margaret M Gage

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

425.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316024477

Amount of Each Receipt this Period

75.00

P/R Deduction (\$25.00 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Erica L Gibb

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

212.50

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316034477

Amount of Each Receipt this Period

37.50

P/R Deduction (\$12.50 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

151.98

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Heather A Glatter

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

212.50

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316054477

Amount of Each Receipt this Period

37.50

P/R Deduction (\$12.50 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

David B Goldman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

212.50

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316064477

Amount of Each Receipt this Period

37.50

P/R Deduction (\$12.50 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Laura M Goodrich

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

425.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316074477

Amount of Each Receipt this Period

75.00

P/R Deduction (\$25.00 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

150.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 178 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Douglas C. Grossberg

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

212.50

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316094477

Amount of Each Receipt this Period

37.50

P/R Deduction (\$12.50 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

John E Guthrie

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

212.50

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316104477

Amount of Each Receipt this Period

37.50

P/R Deduction (\$12.50 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Christian N Habitz

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

212.50

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316114477

Amount of Each Receipt this Period

37.50

P/R Deduction (\$12.50 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

112.50

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 179 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Jason D Halio

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

425.00

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316124477

Amount of Each Receipt this Period

75.00

P/R Deduction (\$25.00 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Barnaby W Hall

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

212.50

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316134477

Amount of Each Receipt this Period

37.50

P/R Deduction (\$12.50 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Douglas M. Harter

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

210.56

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316144477

Amount of Each Receipt this Period

39.48

P/R Deduction (\$13.16 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

151.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 180 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Peter C Heller

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

210.56

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316154477

Amount of Each Receipt this Period

39.48

P/R Deduction (\$13.16 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Jian K Ho

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

210.56

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316164477

Amount of Each Receipt this Period

39.48

P/R Deduction (\$13.16 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Andrew L Hubbard

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

850.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316174477

Amount of Each Receipt this Period

150.00

P/R Deduction (\$50.00 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

228.96

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Dianne P Hurley

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

425.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316184477

Amount of Each Receipt this Period

75.00

P/R Deduction (\$25.00 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Jeffrey R Janker

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

212.50

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316194477

Amount of Each Receipt this Period

37.50

P/R Deduction (\$12.50 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Ashish Kapur

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

210.56

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316204477

Amount of Each Receipt this Period

39.48

P/R Deduction (\$13.16 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

151.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 182 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

David H Katz

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

421.12

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316214477

Amount of Each Receipt this Period

78.96

P/R Deduction (\$26.32 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Gordon C Kerr Jr

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

212.50

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316234477

Amount of Each Receipt this Period

37.50

P/R Deduction (\$12.50 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Jon M Klein

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

425.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316244477

Amount of Each Receipt this Period

75.00

P/R Deduction (\$25.00 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

191.46

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 183 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Charles D Kurtzman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

210.56

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316254477

Amount of Each Receipt this Period

39.48

P/R Deduction (\$13.16 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Sara Lander

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

421.12

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316274477

Amount of Each Receipt this Period

78.96

P/R Deduction (\$26.32 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Marc A Mansourian

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

842.08

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316284477

Amount of Each Receipt this Period

157.89

P/R Deduction (\$52.63 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

276.33

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 184 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Michael F. Marco

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

842.08

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316294477

Amount of Each Receipt this Period

157.89

P/R Deduction (\$52.63 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Dennis Marrero

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

421.12

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316304477

Amount of Each Receipt this Period

78.96

P/R Deduction (\$26.32 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Edouard A Matitia Cohen

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

850.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316314477

Amount of Each Receipt this Period

150.00

P/R Deduction (\$50.00 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

386.85

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 185 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Edward McAlea

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

425.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316324477

Amount of Each Receipt this Period

75.00

P/R Deduction (\$25.00 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Thomas H McLaren

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

210.56

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316334477

Amount of Each Receipt this Period

39.48

P/R Deduction (\$13.16 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Eric Mimeault

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

212.50

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316344477

Amount of Each Receipt this Period

37.50

P/R Deduction (\$12.50 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

151.98

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 186 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Gregory W. Molloy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

210.56

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316354477

Amount of Each Receipt this Period

39.48

P/R Deduction (\$13.16 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Christopher H Mulshine

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

850.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316374477

Amount of Each Receipt this Period

150.00

P/R Deduction (\$50.00 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

William D. Nunez

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

210.56

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316384477

Amount of Each Receipt this Period

39.48

P/R Deduction (\$13.16 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

228.96

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 187 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Adam Z Pace

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

212.50

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316394477

Amount of Each Receipt this Period

37.50

P/R Deduction (\$12.50 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Nick Pyle

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

212.50

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316414477

Amount of Each Receipt this Period

37.50

P/R Deduction (\$12.50 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Humberto M Reboledo

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

212.50

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316424477

Amount of Each Receipt this Period

37.50

P/R Deduction (\$12.50 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

112.50

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 188 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Thomas F. Reilly

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

421.12

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316434477

Amount of Each Receipt this Period

78.96

P/R Deduction (\$26.32 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Christian G. Rogers

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

210.56

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316444477

Amount of Each Receipt this Period

39.48

P/R Deduction (\$13.16 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Stephanie M Ruiz

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

425.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316454477

Amount of Each Receipt this Period

75.00

P/R Deduction (\$25.00 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

193.44

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 189 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

Any information copied from such Reports and Statements may not be sold or used by any person for the purpose of soliciting contributions or for commercial purposes, other than using the name and address of any political committee to solicit contributions from such committee.

NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

John K Semler

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

212.50

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316474477

Amount of Each Receipt this Period

37.50

P/R Deduction (\$12.50 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Parshu Shah

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

421.12

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316484477

Amount of Each Receipt this Period

78.96

P/R Deduction (\$26.32 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Saumil Shah

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

212.50

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316494477

Amount of Each Receipt this Period

37.50

P/R Deduction (\$12.50 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

153.96

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 190 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Maureen C Shanley

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

212.50

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316504477

Amount of Each Receipt this Period

37.50

P/R Deduction (\$12.50 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Richard Y Siegel

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

425.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316514477

Amount of Each Receipt this Period

75.00

P/R Deduction (\$25.00 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Debra C Somers

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

212.50

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316534477

Amount of Each Receipt this Period

37.50

P/R Deduction (\$12.50 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

150.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 191 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Andres D Steckl

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

425.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316544477

Amount of Each Receipt this Period

75.00

P/R Deduction (\$25.00 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Joseph S. Stehr

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

210.40

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316554477

Amount of Each Receipt this Period

39.45

P/R Deduction (\$13.15 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Jennifer C Strauss

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

212.50

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316564477

Amount of Each Receipt this Period

37.50

P/R Deduction (\$12.50 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

151.95

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 192 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Michael E Tittmann

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

421.12

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316604477

Amount of Each Receipt this Period

78.96

P/R Deduction (\$26.32 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Neil J Tufano Jr

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

425.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316614477

Amount of Each Receipt this Period

75.00

P/R Deduction (\$25.00 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Amir Vardi

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

212.50

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316634477

Amount of Each Receipt this Period

37.50

P/R Deduction (\$12.50 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

191.46

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 193 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Michael J Venditti Jr

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

212.50

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316644477

Amount of Each Receipt this Period

37.50

P/R Deduction (\$12.50 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

James B. Walker

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

850.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316654477

Amount of Each Receipt this Period

150.00

P/R Deduction (\$50.00 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Kevin D Wojcikewych

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

212.50

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316664477

Amount of Each Receipt this Period

37.50

P/R Deduction (\$12.50 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

225.00

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 194 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Robert F. Zahra Jr.

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

210.56

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316674477

Amount of Each Receipt this Period

39.48

P/R Deduction (\$13.16 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Richard S Zinman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

850.00

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR39316694477

Amount of Each Receipt this Period

150.00

P/R Deduction (\$50.00 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Cynthia F. Arias

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.35

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824114477

Amount of Each Receipt this Period

41.67

P/R Deduction (\$13.89 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

231.15

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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FOR LINE NUMBER: PAGE 195 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Neil Banbury

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.35

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824124477

Amount of Each Receipt this Period

41.67

P/R Deduction (\$13.89 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Sebastian P Bea

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.35

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824134477

Amount of Each Receipt this Period

41.67

P/R Deduction (\$13.89 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Julia M Belladonna

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.70

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824144477

Amount of Each Receipt this Period

83.34

P/R Deduction (\$27.78 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.68

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Gardy A Berthoumieux

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.40

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824154477

Amount of Each Receipt this Period

166.68

P/R Deduction (\$55.56 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Anthony C Bowe

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.25

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824174477

Amount of Each Receipt this Period

166.65

P/R Deduction (\$55.55 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

John L. Bown

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.70

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824184477

Amount of Each Receipt this Period

83.34

P/R Deduction (\$27.78 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

416.67

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

James Buccola

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.35

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824194477

Amount of Each Receipt this Period

41.67

P/R Deduction (\$13.89 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

John N Dabbs

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.70

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824244477

Amount of Each Receipt this Period

83.34

P/R Deduction (\$27.78 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Xiangyang Deng

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.35

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824254477

Amount of Each Receipt this Period

41.67

P/R Deduction (\$13.89 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.68

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Anthony A Dertouzos

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.40

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824264477

Amount of Each Receipt this Period

166.68

P/R Deduction (\$55.56 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Richard S Dutton

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.70

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824274477

Amount of Each Receipt this Period

83.34

P/R Deduction (\$27.78 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

John D Dwyer

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.35

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824284477

Amount of Each Receipt this Period

41.67

P/R Deduction (\$13.89 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

291.69

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Norman Epstein

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.70

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824294477

Amount of Each Receipt this Period

83.34

P/R Deduction (\$27.78 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Maria I Espinal

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.35

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824304477

Amount of Each Receipt this Period

41.67

P/R Deduction (\$13.89 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Robert J. Franz

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.70

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824314477

Amount of Each Receipt this Period

83.34

P/R Deduction (\$27.78 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

208.35

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Rachael A Grubin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.35

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824334477

Amount of Each Receipt this Period

41.67

P/R Deduction (\$13.89 Sem-  
i-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Arunas E Gudaitis

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.70

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824344477

Amount of Each Receipt this Period

83.34

P/R Deduction (\$27.78 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Douglas T Healy

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.55

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824374477

Amount of Each Receipt this Period

83.31

P/R Deduction (\$27.77 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

208.32

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
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Detailed Summary Page

FOR LINE NUMBER: PAGE 201 / 225

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

David Ho

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824384477

Amount of Each Receipt this Period

41.64

P/R Deduction (\$13.88 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Kenneth D. Hoffman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.25

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824394477

Amount of Each Receipt this Period

166.65

P/R Deduction (\$55.55 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Bradford J Hughes

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824404477

Amount of Each Receipt this Period

41.64

P/R Deduction (\$13.88 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

249.93

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 202 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Charles C Jellinghaus

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.55

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824414477

Amount of Each Receipt this Period

83.31

P/R Deduction (\$27.77 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Jeffrey D Jennings

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.20

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824424477

Amount of Each Receipt this Period

416.64

P/R Deduction (\$138.88 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Michael H. Jensen

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.70

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824434477

Amount of Each Receipt this Period

83.34

P/R Deduction (\$27.78 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

583.29

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 203 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Jennifer Dawn Kaplan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR4382444477

Amount of Each Receipt this Period

41.64

P/R Deduction (\$13.88 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Michael G Kassab

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.55

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR4382445477

Amount of Each Receipt this Period

83.31

P/R Deduction (\$27.77 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Jeffrey E Kastil

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR4382446477

Amount of Each Receipt this Period

41.64

P/R Deduction (\$13.88 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.59

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 204 / 225

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☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Guy C. Kearsley

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.25

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824474477

Amount of Each Receipt this Period

166.65

P/R Deduction (\$55.55 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Erik H Lee

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.55

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824494477

Amount of Each Receipt this Period

83.31

P/R Deduction (\$27.77 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Jonathan Lee

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824504477

Amount of Each Receipt this Period

41.64

P/R Deduction (\$13.88 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

291.60

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 205 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Joseph Leo

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.55

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824524477

Amount of Each Receipt this Period

83.31

P/R Deduction (\$27.77 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Angelo A. Liberatore

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824544477

Amount of Each Receipt this Period

41.64

P/R Deduction (\$13.88 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Anchi Lin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.55

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824554477

Amount of Each Receipt this Period

83.31

P/R Deduction (\$27.77 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

208.26

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 206 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Chris C Lopez

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.35

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824574477

Amount of Each Receipt this Period

41.67

P/R Deduction (\$13.89 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Patrick Luongo

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.35

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824584477

Amount of Each Receipt this Period

41.67

P/R Deduction (\$13.89 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Thomas M Lynch

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.55

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824594477

Amount of Each Receipt this Period

83.31

P/R Deduction (\$27.77 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.65

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 207 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Anju Mahajan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

249.90

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824604477

Amount of Each Receipt this Period

49.98

P/R Deduction (\$16.66 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

John F Marshall

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824614477

Amount of Each Receipt this Period

41.64

P/R Deduction (\$13.88 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Daniel C Mathisson

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.25

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824624477

Amount of Each Receipt this Period

166.65

P/R Deduction (\$55.55 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

258.27

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 208 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Michael T McTernan

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.25

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824634477

Amount of Each Receipt this Period

166.65

P/R Deduction (\$55.55 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Eric B Miller

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.25

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824654477

Amount of Each Receipt this Period

166.65

P/R Deduction (\$55.55 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Philip J Moineau

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.25

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824664477

Amount of Each Receipt this Period

166.65

P/R Deduction (\$55.55 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

499.95

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 209 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Jeffrey R Moster

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824684477

Amount of Each Receipt this Period

41.64

P/R Deduction (\$13.88 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Thomas J Mullooly

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.55

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824694477

Amount of Each Receipt this Period

83.31

P/R Deduction (\$27.77 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Richard B. Myers

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824704477

Amount of Each Receipt this Period

41.64

P/R Deduction (\$13.88 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

166.59

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 210 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Thomas L Newberry

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.25

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824714477

Amount of Each Receipt this Period

166.65

P/R Deduction (\$55.55 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Peter Norley

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.25

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824724477

Amount of Each Receipt this Period

166.65

P/R Deduction (\$55.55 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Walter Richard O'Connell

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.55

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824734477

Amount of Each Receipt this Period

83.31

P/R Deduction (\$27.77 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

416.61

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 211 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Stephen O'Hare

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

833.25

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824744477

Amount of Each Receipt this Period

166.65

P/R Deduction (\$55.55 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Maria C. Petersen

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.55

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824754477

Amount of Each Receipt this Period

83.31

P/R Deduction (\$27.77 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Jason S. Rich

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.55

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824764477

Amount of Each Receipt this Period

83.31

P/R Deduction (\$27.77 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

333.27

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 212 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Joshua D Rinkov

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

210.56

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824774477

Amount of Each Receipt this Period

39.48

P/R Deduction (\$13.16 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Sondra Rivers

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.55

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824784477

Amount of Each Receipt this Period

83.31

P/R Deduction (\$27.77 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Elisabeth E. Rose

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.55

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824794477

Amount of Each Receipt this Period

83.31

P/R Deduction (\$27.77 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

206.10

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 213 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Stuart C Salyer

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824804477

Amount of Each Receipt this Period

41.64

P/R Deduction (\$13.88 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Todd C Sandoz

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.20

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824814477

Amount of Each Receipt this Period

416.64

P/R Deduction (\$138.88 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Jonathan S Satran

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.55

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824824477

Amount of Each Receipt this Period

83.31

P/R Deduction (\$27.77 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

541.59

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
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(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Angus M Scott

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.55

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824844477

Amount of Each Receipt this Period

83.31

P/R Deduction (\$27.77 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Ryan B Sheftel

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.70

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824854477

Amount of Each Receipt this Period

83.34

P/R Deduction (\$27.78 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Douglas J Trauber

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.70

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824864477

Amount of Each Receipt this Period

83.34

P/R Deduction (\$27.78 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

249.99

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 215 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Steven Veneziano

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.55

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824874477

Amount of Each Receipt this Period

83.31

P/R Deduction (\$27.77 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Joshua N. Vollertsen

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

208.20

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824884477

Amount of Each Receipt this Period

41.64

P/R Deduction (\$13.88 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Brian J Walker

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.55

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824894477

Amount of Each Receipt this Period

83.31

P/R Deduction (\$27.77 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

208.26

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 216 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Lara J. Warner

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

2083.20

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824904477

Amount of Each Receipt this Period

416.64

P/R Deduction (\$138.88 Se-  
mi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Bridget O Wren

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.55

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824914477

Amount of Each Receipt this Period

83.31

P/R Deduction (\$27.77 Sem-  
i-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Ray Ying

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.55

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824924477

Amount of Each Receipt this Period

83.31

P/R Deduction (\$27.77 Sem-  
i-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

583.26

**TOTAL** This Period (last page this line number only) .....



# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 217 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Michael W. Antilety

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

205.94

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR4382494477

Amount of Each Receipt this Period

44.13

P/R Deduction (\$14.71 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Eric A. Federman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

823.48

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824954477

Amount of Each Receipt this Period

176.46

P/R Deduction (\$58.82 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Babar Ghias

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

205.94

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824964477

Amount of Each Receipt this Period

44.13

P/R Deduction (\$14.71 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

264.72

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 218 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Ivan Hrazdira

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

411.74

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824974477

Amount of Each Receipt this Period

88.23

P/R Deduction (\$29.41 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Keith A Joffe

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

205.94

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824984477

Amount of Each Receipt this Period

44.13

P/R Deduction (\$14.71 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Adam C. Kellogg

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

205.94

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43824994477

Amount of Each Receipt this Period

44.13

P/R Deduction (\$14.71 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

176.49

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 219 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Bruce Z Kerr

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

205.94

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43825004477

Amount of Each Receipt this Period

44.13

P/R Deduction (\$14.71 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Carl D. Lantz

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

205.94

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43825014477

Amount of Each Receipt this Period

44.13

P/R Deduction (\$14.71 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Robert C Lucenti

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

205.94

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43825044477

Amount of Each Receipt this Period

44.13

P/R Deduction (\$14.71 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

132.39

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 220 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Alan S Mittleman

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

205.94

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43825054477

Amount of Each Receipt this Period

44.13

P/R Deduction (\$14.71 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

David E Patten

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

205.94

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43825064477

Amount of Each Receipt this Period

44.13

P/R Deduction (\$14.71 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Robin M Rankin

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

823.48

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43825074477

Amount of Each Receipt this Period

176.46

P/R Deduction (\$58.82 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

264.72

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 221 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Simon Sawyer

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

205.94

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR43825084477

Amount of Each Receipt this Period

44.13

P/R Deduction (\$14.71 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Robert H Huntington

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

812.50

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR56510764477

Amount of Each Receipt this Period

187.50

P/R Deduction (\$62.50 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Adriano Cammarata

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

200.04

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR56510774477

Amount of Each Receipt this Period

50.01

P/R Deduction (\$16.67 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

281.64

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 222 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Salvatore A Cangialosi

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

200.04

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR56510784477

Amount of Each Receipt this Period

50.01

P/R Deduction (\$16.67 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

David Coulam

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

800.04

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR56510794477

Amount of Each Receipt this Period

200.01

P/R Deduction (\$66.67 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Richard J DellaRusso

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

416.70

Date of Receipt

M M / D D / Y Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR56510804477

Amount of Each Receipt this Period

83.34

P/R Deduction (\$27.78 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

333.36

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 223 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Lale Faheem

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

200.04

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR56510814477

Amount of Each Receipt this Period

50.01

P/R Deduction (\$16.67 Semi-Monthly)

**B.**

Full Name (Last, First, Middle Initial)

Roger P Leider

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

200.04

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR56510824477

Amount of Each Receipt this Period

50.01

P/R Deduction (\$16.67 Semi-Monthly)

**C.**

Full Name (Last, First, Middle Initial)

Karen S Wallace

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

399.96

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR56510834477

Amount of Each Receipt this Period

99.99

P/R Deduction (\$33.33 Semi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

200.01

**TOTAL** This Period (last page this line number only) .....

# **SCHEDULE A (FEC Form 3X)** **ITEMIZED RECEIPTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER: PAGE 224 / 225

(check only one)

☒ 11a ☐ 11b ☐ 11c ☐ 12  
☐ 13 ☐ 14 ☐ 15 ☐ 16 ☐ 17

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Charles A Boorady

Mailing Address 11 Madison Ave

City

New York

State

NY

Zip Code

10010

FEC ID number of contributing  
federal political committee.

C

Name of Employer  
Credit Suisse Securities  
(USA) LLC

Receipt For:

☐ Primary ☐ General  
☐ Other (specify) ▼

Occupation

Investment Banking

Aggregate Year-to-Date ▼

500.01

Date of Receipt

M M / D D / Y Y Y Y  
1 1 / 2 2 / 2 0 1 0

Transaction ID: PR61322584477

Amount of Each Receipt this Period

500.01

P/R Deduction (\$166.67 Se-  
mi-Monthly)

**SUBTOTAL** of Receipts This Page (optional) .....

500.01

**TOTAL** This Period (last page this line number only) .....

52723.83



# **SCHEDULE B (FEC Form 3X)** **ITEMIZED DISBURSEMENTS**

Use separate schedule(s)  
for each category of the  
Detailed Summary Page

FOR LINE NUMBER:  
(check only one)

PAGE 225 / 225

☐ 21b ☐ 22 ☒ 23 ☐ 24 ☐ 25 ☐ 26  
☐ 27 ☐ 28a ☐ 28b ☐ 28c ☐ 29 ☐ 30b

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NAME OF COMMITTEE (In Full)

Credit Suisse Securities (USA) LLC Political Action Committee

**A.**

Full Name (Last, First, Middle Initial)

Kind for Congress

Mailing Address 205 South 5th Ave  
Suite 428

City La Crosse State WI Zip Code 54601

Purpose of Disbursement  
Void - Kind for Congress

Candidate Name  
Ron Kind

011  
Category/  
Type

Office Sought: ☒ House  
☐ Senate  
☐ President  
State: WI District: 03

Disbursement For: 2010  
☐ Primary ☒ General  
☐ Other (specify) ▼

Transaction ID: 9608640

Date of Disbursement

10 / 29 / 2010

Amount of Each Disbursement this Period

-4000.00

Void - Kind for Congress

**B.**

Full Name (Last, First, Middle Initial)

Kind for Congress

Mailing Address 205 South 5th Ave  
Suite 428

City La Crosse State WI Zip Code 54601

Purpose of Disbursement  
Contribution to candidate - Re-issued from Aug 2010

Candidate Name  
Ron Kind

011  
Category/  
Type

Office Sought: ☒ House  
☐ Senate  
☐ President  
State: WI District: 03

Disbursement For: 2010  
☐ Primary ☒ General  
☐ Other (specify) ▼

Transaction ID: 9608641

Date of Disbursement

10 / 29 / 2010

Amount of Each Disbursement this Period

4000.00

Contribution to candidate  
- Re-issued from Aug 2010

**SUBTOTAL** of Disbursements This Page (optional) .....

0.00

**TOTAL** This Period (last page this line number only) .....

0.00